

## Part 2B of Form ADV – Brochure

William M. Arthur II

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This Brochure Supplement provides information about William Arthur, Independent Investment Adviser Representative, which supplements the Valmark Advisers, Inc. (“Valmark”) Form ADV Part 2A Brochure. Please inform William Arthur at the contact information listed above if you did not receive Valmark’s Form ADV Part 2A Brochure or if you have any questions about the contents of this supplement. Additional information about Valmark and William Arthur is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) and FINRA’s website at [www.finra.org/brokercheck](http://www.finra.org/brokercheck)

### **Educational Background and Business Experience**

William M. Arthur II

Date of Birth: 10/19/1970

#### Education:

- Thomas More College, Crestview Hills, KY
- Bachelor of Science, Accounting

#### Examinations and Professional Designations:

- Series 6 – Investment Company Products/Variable Contracts Limited Representative
- Series 63 – Uniform Securities Agent State Law Exam
- Series 65 – Investment Advisors Law Exam
- CRPS®
- AIF®

#### AIF® - Accredited Investment Fiduciary®

The Accredited Investment Fiduciary® professional designation is the industry’s first and only designation that demonstrates knowledge and competency in the area of fiduciary responsibility and communicates a commitment to standards of investment fiduciary excellence.

- Holders of the AIF® mark have successfully completed a specialized program on investment fiduciary standards and subsequently passed a comprehensive examination.
- Demonstrate the focus on all the components of a comprehensive investment process, related fiduciary standards of care, and commitment to excellence.
- Represents a thorough knowledge of and ability to apply the fiduciary Practices.
- Designees must sign and agree to abide by a code of ethics and complete a continuing education requirement annually.

#### CRPS® - Certified Retirement Services Professional

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- Minimum of three years of experience in ERISA and IRS Code/Regulations and completion of the approved employee benefit/retirement services training program OR five years of experience in ERISA, plus IRS Code/Regulations.
- One letter of recommendation from manager attesting to qualifications for certification including ERISA and IRS Code/Regulations experience.
- Completion of ICB-approved employee benefit/retirement services training program, if experience criteria is not met.
- Final certification exam.
- 30 hours of related continuing education every 3 years.

### Business Experience:

- Investment Adviser Representative, Focus On Success, LLC – March 2010 to January 2026
- Registered Representative, Valmark Securities, Inc. – March 2010 to Present
- Investment Adviser Representative, Valmark Advisers, Inc. – March 2010 to Present
- Pension Representative, O.N. Equity Sales Company – January 2007 to January 2010
- Retirement Plan Consultant, Ohio National Life Insurance Company – November 2006 to 2010
- Ameritas Investment Corporation – June 2006 to November 2006
- Retirement Plan Consultant, Union Central Life Insurance Company – April 1996 to 2006

### Disciplinary Information

Registered Investment Advisers are required to disclose material facts regarding any investment related legal or disciplinary events, including issues involving criminal or civil actions, findings resulting from administrative proceeding before the SEC, a self-regulatory body or any other federal or state regulatory authority that would be material to your evaluation of each supervised person providing investment advice.

William Arthur has no disciplinary record that would impact a client's evaluation of the practice.

### Other Business Activities

William Arthur is a Registered Representative of Valmark Securities, Inc., Valmark Securities, Inc. is a broker dealer under common ownership and management of Valmark Advisers, Inc.

William Arthur is also an insurance agent affiliated with Executive Insurance Agency, Inc., an agency under common ownership and management of Valmark Advisers, Inc.

William Arthur is a member of the Investment Committee of the Diocese of Covington.

### Additional Compensation

As a Registered Representative of an affiliated broker dealer, Valmark Securities, Inc., William Arthur is eligible to receive normal commissions associated with securities sales.

William Arthur is also eligible to receive insurance commissions surrounding the sales of insurance products sold through the affiliated insurance agency, Executive Insurance Agency, Inc.

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William Arthur does not receive any compensation as a member of the Investment Committee of the Diocese of Covington.

### **Supervision**

William Arthur is an independent investment advisor representative. However, before accepting and implementing investment strategies recommended, supervisory principals at the Valmark home office review and approve of the investment strategies. Accounts are reviewed periodically, usually at least annually by the independent advisor. The home office compliance team also periodically reviews accounts and strategies. These initial and ongoing reviews are under the direction of OSJ Principal Sam Galloway who may be reached at 330-576-1234 to discuss questions or concerns in connection with the internal compliance program.